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PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/660,249 TRANSMITTAL Filing Date 09/11/2003 First Named Inventor **FORM** Tetsuji Kotera Art Unit 3682 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number BW-DKT03040 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request **PostCard** Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brown & Michaels, PC Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with

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Typed or printed name

Judy H. Barron

Signature

Date 2/35/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS Alexandria, VA 22313-1450

In re the application of:

Tetsuji Kotera

For:

A SILENT CHAIN FOR RESTRAINING CHORDAL ACTION

Filed:

09/11/2003

Application No.:

10/660,249

Art Unit:

3682

Attorney Docket No.:

BW-DKT03040

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

# List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this information Disclosure Statement

1. [X] Preliminary Statements				
2. [X] FORM PTO - 1449 (Modified)				
3.  Statement As To Information Material To Examination Not Found in Patents or Publications				
4. Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted.				
5. Cumulative patents or Publications				
6. [ ] Copies of Listed Information Items Accompanying This Statement				
7. Concise Explanation of Non-English Language Listed Information Items.				
8. Translation(s) of Non-English Language Documents				
9. [X] Certification under MPEP 609(e)				
10. [X] Identification of Person(s) Making This Information Disclosure Statement				
CERTIFICATE OF MAILING				
[X] Certified Mail No: 70030500000232350172 Date: February 21 2005				
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requested in an envelope addressed to: Commissioner of Patents, Alexandria, VA 22313-1450 on this date.				
( Judy F. Barren				
Judy H. Barron				

### Section 1. Preliminary statements

considered to be material:

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## Section 2. Form PTO - 1449 (Modified) (SEE ATTACHMENT)

- \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance or not considered. Include a copy of this form with the next communication to applicant.
- Section 3. Statement As To Information Material For Examination Not Found in Patents or Publications (Information not listed in PTO 1449)
- Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted

Which No Copies Are Submitted Or Need Be Submitted
Section 5. Cumulative Patents or Publications
☐ Item(s) are cumulative of the following patents or publication listed on Form PTO 1449 (modified):
In accordance with 37 CFR 1.98(c) a copy of is being submitted with this information disclosure statement.
Section 6. Copies of Listed Information Items Accompanying This Statement
Legible copies of all items listed accompany this information statement.
Exception(s) to above:
<ul> <li>☐ Items in prior application from which an earlier filing date is claimed for this application as identified in Section 4.</li> <li>☐ Cumulative patents or publications identified in Section 5.</li> </ul>
Section 7. Concise Explanation of Non-English Language Listed Information Items
Section 8. Translation(s) of Non-English Language Documents

Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information

parts thereof are readily available, except for those listed above.

No English language translations of the foreign language patents, publications or information or

The following foreign language documents submitted are believed to be the equivalent or

substantial equivalent of the English language documents identified below, which are also submitted herewith.

### Section 9. Certification under Rule 1.97

[X] The undersigned hereby certifies that:
a. This Statement is being filed after the latest of (1) three months after the filing date of a national application; (2) three months after the date of entry of the national stage as set forth in w 1.491 in an international application; (3) the mailing date of a first Office action on the merits.
b. The fee set forth in §1.17(p)
☐ Is being paid with this Information Disclosure Statement
[X] Is not due because:  [X] (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or  [2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
Section 10. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT
The person making this statement is
(a) the inventor(s) who signs below

an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)).

Lynda M. Wood, Registration No: 53,791

BROWN & MICHAELS, PC

400 M&T Bank Building, 118 N. Tioga Street

Ithaca, New York 14850-4343

Voice: (607) 256-2000 Fax: (607) 256-3628

(b) [X] the attorney who signs below on the basis of: the information supplied by the inventor(s)

[X] the information in the attorney's file

e-mail: bpm@bpmlegal.com Customer number: 32175

FORM PTO-1449 U.S. DEPT. OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.
(Modified) PATENT AND TRADEMARK OFFICE	BW-DKT03040	10/660,249
	APPLICANT	
	Tetsuji Kotera	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE	GROUP
	09/11/2003	3682

#### **U.S. PATENT DOCUMENTS**

Exam Initial		DOCUMENT NUMBER	DATE	PATENTEE	CLASS	SUB	FILING DATE IF APPROPR
	AB	5,453,059	09/26/1995	Avramidis et al.	474	212	
	AC	5,628,702	05/13/1997	Kotera, T.	474	213	
	AD	6,432,011	08/13/2002	Kanehira et al.	474	215	
	AE	2002/0119853	08/29/2002	Horie, H.	474	213	

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Exam Initial	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES   NO

#### OTHER PRIOR ART

Exam Initial	Author, Title, Date, Pertinent Pages, Etc	
EXAMINER		DATE CONSIDERED